

Coarse Classification of Binary Minimal Clones

Zarathustra Brady

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- ▶ \mathbb{A} is called a *set* if all of its operations are projections. Otherwise, we say \mathbb{A} is *nontrivial*.
- ▶ If $\text{Clo}(\mathbb{A})$ is minimal and $\mathbb{B} \in \text{Var}(\mathbb{A})$ nontrivial, then $\text{Clo}(\mathbb{B})$ is minimal.

Rosenberg's Five Types Theorem

Theorem (Rosenberg)

Suppose that $\mathbb{A} = (A, f)$ is a finite clone-minimal algebra, and f has minimal arity among nontrivial elements of $\text{Clo}(\mathbb{A})$. Then one of the following is true:

- 1. f is a unary operation which is either a permutation of prime order or satisfies $f(f(x)) \approx f(x)$,*
- 2. f is ternary, and \mathbb{A} is the idempotent reduct of a vector space over \mathbb{F}_2 ,*
- 3. f is a ternary majority operation,*
- 4. f is a semiprojection of arity at least 3,*
- 5. f is an idempotent binary operation.*

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- ▶ The first four cases in Rosenberg's classification are nice properties.

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- ▶ **Lemma**
If f is a majority operation and $g \in \text{Clo}(f)$ is nontrivial, then g is a near-unanimity operation.

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- ▶ **Lemma**
If f is a majority operation and $g \in \text{Clo}(f)$ is nontrivial, then g is a near-unanimity operation.
- ▶ The proof is by induction on the construction of g in terms of f .

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- ▶ **Lemma**
If f is a majority operation and $g \in \text{Clo}(f)$ is nontrivial, then g is a near-unanimity operation.
- ▶ The proof is by induction on the construction of g in terms of f .
- ▶ $\implies g$ has a majority term as an identification minor.

Coarse Classification

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- ▶ We'll call such a list a *coarse classification* of minimal clones.
- ▶ By Rosenberg's result, we just need to find a coarse classification of *binary* minimal clones.
- ▶ The main challenge is to find properties of binary operations f that ensure that $\text{Clo}(f)$ doesn't contain any semiprojections.

Taylor Case

► Theorem (Z.)

Suppose \mathbb{A} is a finite algebra which is both clone-minimal and Taylor. Then one of the following is true:

1. *\mathbb{A} is the idempotent reduct of a vector space over \mathbb{F}_p for some prime p ,*
2. *\mathbb{A} is a majority algebra,*
3. *\mathbb{A} is a spiral.*

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 - 3. \mathbb{A} is a spiral.*
- The proof uses the characterization of bounded width algebras.
 - All three cases are given by nice properties.

Spirals

► Definition

$\mathbb{A} = (A, f)$ is a spiral if f is binary, idempotent, commutative, and for any $a, b \in \mathbb{A}$ either $\{a, b\}$ is a subalgebra of \mathbb{A} , or $\text{Sg}_{\mathbb{A}}\{a, b\}$ has a surjective map to the free semilattice on two generators.

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- ▶ Any 2-semilattice is a (clone-minimal) spiral.

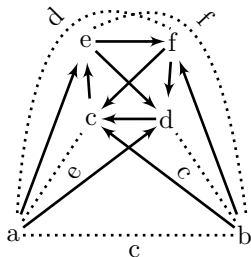
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► A clone-minimal spiral which is not a 2-semilattice:



f	a	b	c	d	e	f
a	a	c	e	d	e	d
b	c	b	c	c	f	f
c	e	c	c	c	e	c
d	d	c	c	d	d	d
e	e	f	e	d	e	f
f	d	f	c	d	f	f

The non-Taylor case

Theorem (Z.)

Suppose that $\mathbb{A} = (A, f)$ is a binary minimal clone which is not Taylor. Then, after possibly replacing $f(x, y)$ by $f(y, x)$, one of the following is true:

1. \mathbb{A} is a rectangular band,

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1. \mathbb{A} is a rectangular band,
2. there is a nontrivial $s \in \text{Clo}(f)$ which is a “partial semilattice operation”: $s(x, s(x, y)) \approx s(s(x, y), x) \approx s(x, y)$,

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4. \mathbb{A} is an idempotent groupoid satisfying $(xy)(zx) \approx xy$ (“neighborhood algebra”),
5. \mathbb{A} is a “dispersive algebra”.

Dispersive algebras: definition

- ▶ We define the variety \mathcal{D} of idempotent groupoids satisfying

$$x(yx) \approx (xy)x \approx (xy)y \approx (xy)(yx) \approx xy, \quad (\mathcal{D}1)$$

$$\forall n \geq 0 \quad x(\dots((xy_1)y_2) \cdots y_n)) \approx x. \quad (\mathcal{D}2)$$

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- ▶ Proposition (Lévai, Pálffy)

If $\mathbb{A} \in \mathcal{D}$, then $\text{Clo}(\mathbb{A})$ is a minimal clone. Also, $\mathcal{F}_{\mathcal{D}}(x, y)$ has exactly four elements: x, y, xy, yx .

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- ▶ **Definition**

An idempotent groupoid \mathbb{A} is *dispersive* if it satisfies $(\mathcal{D}2)$ and if for all $a, b \in \mathbb{A}$, either $\{a, b\}$ is a two element subalgebra of \mathbb{A} or there is a surjective map

$$\text{Sg}_{\mathbb{A}^2} \{(a, b), (b, a)\} \twoheadrightarrow \mathcal{F}_{\mathcal{D}}(x, y).$$

Absorption identities

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- ▶ In the partial semilattice case, there are no absorption identities at all (aside from idempotence).
- ▶ The dispersive case can alternatively be described as the case where every absorption identity follows from ($\mathcal{D}2$):

$$\forall n \geq 0 \quad x(\dots((xy_1)y_2) \cdots y_n)) \approx x.$$

I call it “dispersive” because there is very little absorption.

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A finite idempotent algebra \mathbb{A} has $a \neq b \in \mathbb{A}$ with

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- ▶ Proof sketch: Let $t(a, b) = t(b, a) = b$, then take

$$t^{n+1}(x, y) := t(x, t^n(x, y)),$$

$$t^\infty(x, y) := \lim_{n \rightarrow \infty} t^{n!}(x, y),$$

$$u(x, y) := t^\infty(x, t^\infty(y, x)),$$

$$s(x, y) := u^\infty(x, y).$$

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- ▶ The following absorption identities hold on \mathbb{B} :

$$u \approx f(f(f(u, x), y), f(z, f(w, u))),$$

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- ▶ Take $u = f(x, w)$, get

$$f(f(x, y), f(z, w)) \approx f(x, w),$$

so \mathbb{A} is a rectangular band.

$\text{Clo}_2^{\pi_1}(\mathbb{A})$

- ▶ If \mathbb{A} is *not* a rectangular band, then there is only one type of set in $\text{Var}(\mathbb{A})$, and every binary function restricts to either first or second projection on this set.

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- ▶ There is a unique surjection from $\mathcal{F}_{\mathbb{A}}(x, y)$ onto a two-element set, and $\text{Clo}_2^{\pi_1}(\mathbb{A})$ is one of the congruence classes of the kernel.

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- ▶ From here on, every function we name will always be assumed to be an element of $\text{Clo}_2^{\pi_1}(\mathbb{A})$.

Crucial lemma

► Lemma

Suppose \mathbb{A} is a binary minimal clone, not Taylor, not a rectangular band, and not a partial semilattice. Then for any $f, g \in \text{Clo}_2^{\pi_1}(\mathbb{A})$, we have

$$f(x, g(x, y)) \approx x.$$

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- Proof hints: WLOG every proper subalgebra and quotient of \mathbb{A} is a set.
- If $f(a, g(a, b)) \neq a$, then $a, g(a, b)$ must generate \mathbb{A} , so there is $h \in \text{Clo}_2^{\pi_1}(\mathbb{A})$ such that $h(a, b) = b$.

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- Consider the relation $\text{Sg}_{\mathbb{A}^2}\{(a, b), (b, a)\}$: either it's the graph of an automorphism, or it has a nontrivial linking congruence, or it's linked.

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- Consider the relation $\text{Sg}_{\mathbb{A}^2}\{(a, b), (b, a)\}$: either it's the graph of an automorphism, or it has a nontrivial linking congruence, or it's linked.
- If it's linked, then there is $\mathbb{B} < \mathbb{A}$ such that $\mathbb{B} \times \mathbb{A} \cap \text{Sg}_{\mathbb{A}^2}\{(a, b), (b, a)\}$ is subdirect... from here it's easy.

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 - ▶ $f, g \mapsto f(g(x, y), y)$,
 - ▶ $f, g \mapsto f(g(x, y), g(y, x))$.
- ▶ The first one is boring by the Lemma.
- ▶ What happens if one of the other two operations forms a group on $\text{Clo}_2^{\pi_1}(\mathbb{A})$?

Groupy case - continued

- ▶ If the operation $f, g \mapsto f(g(x, y), y)$ forms a group on $\text{Clo}_2^{\pi_1}(\mathbb{A})$, then we can use orbit-stabilizer to find nontrivial $f, g \in \text{Clo}_2^{\pi_1}(\mathbb{A})$ such that

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- ▶ If f^- is the inverse to f in this group, we get

$$f^-(f(x, g(y, z)), y) = x$$

whenever two of x, y, z are equal. Semiprojection?

Groupy case is p -cyclic groupoids

- ▶ We have nontrivial $f, g \in \text{Clo}_2^{\pi_1}(\mathbb{A})$ such that $f(x, g(y, z)) \approx f(x, y)$.

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- ▶ Thus

$$f(f(x, y), z) = f(f(x, z), y)$$

whenever two of x, y, z are equal.

p -cyclic groupoids

- ▶ An idempotent groupoid \mathbb{A} is a *p -cyclic groupoid* if it satisfies

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- ▶ Theorem (Z.)

If a binary minimal clone is not a rectangular band and does not have any nontrivial term f satisfying the identity

$$f(f(x, y), y) \approx f(x, y),$$

then it is a p -cyclic groupoid for some prime p . (And similarly if there is no $f(f(x, y), f(y, x)) \approx f(x, y)$.)

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- ▶ The v_{ij} must satisfy $v_{ii} = 0$, and for any fixed i the set of v_{ij} s have to span A_i .
- ▶ The free p -cyclic groupoid on n generators has np^{n-1} elements.

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- ▶ **Proposition**

If an idempotent groupoid satisfies $x(xy) \approx x(yx) \approx x$ and has no ternary semiprojections, then it is a neighborhood algebra.

- ▶ **Proposition (Lévai, Pálffy)**

Every neighborhood algebra forms a minimal clone.

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- ▶ The resulting groupoid will then be a neighborhood algebra.

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- ▶ We need to construct a surjection $\mathcal{F}_{\mathbb{A}}(x, y) \twoheadrightarrow \mathcal{F}_{\mathcal{D}}(x, y)$.
- ▶ The kernel should have equivalence classes $\{x\}$, $\{y\}$, $\text{Clo}_2^{\pi_1}(\mathbb{A}) \setminus \{x\}$, and $\text{Clo}_2^{\pi_2}(\mathbb{A}) \setminus \{y\}$.

Dispersive case - continued

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whenever at most two different variables show up on the left hand side. Semiprojection?

- ▶ Since we aren't a neighborhood algebra, there must be some a, b such that

$$g(a, g(b, a)) \neq a.$$

Dispersive case - continued

- ▶ We have $\text{Sg}_{\mathbb{A}}\{a, g(b, a)\} = \mathbb{A}$ and

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- ▶ Thus, for all $c \in \mathbb{A}$ we have

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- ▶ Since $g \in \text{Clo}(f)$, we get $g(a, g(b, a)) = a$, a contradiction.

Dispersive case - final

- ▶ Need to rule out two similar possibilities - the arguments are similar, but now we must use the existence of functions satisfying $f(f(x, y), y) \approx f(x, y)$ or $f(f(x, y), f(y, x)) \approx f(x, y)$.

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- ▶ To see that $\text{Sg}_{\mathbb{A}^2}\{(a, b), (b, a)\} \not\rightarrow \mathcal{F}_{\mathcal{D}}(x, y)$ when $\{a, b\}$ is not a subalgebra, note that if $f((a, b), (b, a)) = (a, b)$, then we must have $f(x, y) \approx x$.

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- ▶ To see that $\text{Sg}_{\mathbb{A}^2}\{(a, b), (b, a)\} \twoheadrightarrow \mathcal{F}_{\mathcal{D}}(x, y)$ when $\{a, b\}$ is not a subalgebra, note that if $f((a, b), (b, a)) = (a, b)$, then we must have $f(x, y) \approx x$.
- ▶ I don't know if this is true:

Conjecture

If \mathbb{A} is a dispersive binary minimal clone, then for any $a \neq b$ there is a surjective map from $\text{Sg}_{\mathbb{A}}\{a, b\}$ to a two-element set.

Thank you for your attention.